



# Automation and Control Lab

IE 0906544

Experiment 3: Performance of First order and second order systems

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# System's Response

- We can find the time response of dynamic systems for arbitrary initial conditions and inputs

$$y(t) = \mathcal{L}^{-1}[Y(s)] = \mathcal{L}^{-1}[G(s)U(s)]$$

- Classifying the response of some standard systems to standard inputs can provide insight
  - Ex Systems: first order, second order
  - Ex Inputs: impulse, step, ramp, sinusoid

# System's Order

The order of the system is given by the maximum power of s in the denominator polynomial,  $Q(s)$ .

Here,  $Q(s) = a_0 s^n + a_1 s^{n-1} + a_2 s^{n-2} + \dots + a_{n-1} s + a_n$ .

Now, n is the order of the system

When  $n = 0$ , the system is zero order system.

When  $n = 1$ , the system is first order system.

When  $n = 2$ , the system is second order system and so on.

The numerator and denominator polynomial of equation (2.10) can be expressed in the factorized form as shown in equation (2.11).

$$T(s) = \frac{P(s)}{Q(s)} = \frac{(s+z_1)(s+z_2)\dots(s+z_m)}{(s+p_1)(s+p_2)\dots(s+p_n)} \quad \dots(2.11)$$

where,  $z_1, z_2, \dots, z_m$  are zeros of the system.

$p_1, p_2, \dots, p_n$  are poles of the system.

Now, the value of n gives the number of poles in the transfer function. Hence the order is also given by the number of poles of the transfer function.

# Transient Response and Steady-State Response.

The time response of a control system consists of two parts:

- the transient response
- and the steady-state response.

**By transient response**, we mean that which goes from the initial state to the final state.

**By steady-state response**, we mean the manner in which the system output behaves as t approaches infinity. Thus the system response c(t) may be written as

$$\mathbf{c(t) = c_{tr}(t) + c_{ss}(t)}$$

# Laplace Transform of Standard Functions

## Step Function:

The unit step function is,

$$\begin{aligned} \underline{u(t)} &= \underline{1} && \text{for } t \geq 0 \\ &= \underline{0} && \text{for } t < 0 \end{aligned}$$

$$\begin{aligned} L\{u(t)\} &= \int_0^{\infty} u(t) \cdot e^{-st} dt = \int_0^{\infty} 1 \cdot e^{-st} dt = \left[ \frac{e^{-st}}{-s} \right]_0^{\infty} \\ &= \left[ \frac{e^{-\infty}}{-s} - \frac{e^0}{-s} \right] \quad \text{as } e^{-\infty} = 0 \end{aligned}$$

$$\boxed{L\{u(t)\} = \frac{1}{s}} \rightarrow SR$$

# Laplace Transform of Standard Functions

## Ramp Function:

The unit ramp function is defined as,

$$\begin{aligned} r(t) &= t && \text{for } t \geq 0 \\ &= 0 && \text{for } t < 0 \end{aligned}$$
$$L\{r(t)\} = \int_0^{\infty} r(t) e^{-st} dt = \int_0^{\infty} t e^{-st} dt$$

Integrating by parts,

$$\begin{aligned} &= \left[ \frac{t \cdot e^{-st}}{-s} \right]_0^{\infty} - \int_0^{\infty} \frac{e^{-st}}{-s} \cdot 1 dt = [0 - 0] + \frac{1}{s} \int_0^{\infty} e^{-st} dt \\ &= \frac{1}{s} \left[ \frac{e^{-st}}{-s} \right]_0^{\infty} = \frac{1}{s} \left[ \frac{e^{-\infty}}{-s} - \frac{e^0}{-s} \right] \quad \text{as } e^{-\infty} = 0 \end{aligned}$$

$$L\{r(t)\} = \frac{1}{s^2}$$

$$L\{t u(t)\} = \frac{1}{s^2}$$

as  $r(t) = t u(t)$

# Laplace Transform of Standard Functions

## Ramp Function:

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# Laplace Transform of Standard Functions

## Impulse Function:

The unit impulse function is  $\delta(t)$  and defined as,

$$\begin{aligned}\delta(t) &= 1 \quad \text{for } t = 0 \\ &= 0 \quad \text{for } t \neq 0\end{aligned}$$

We know the relation between unit step and unit impulse.

$$\delta(t) = \frac{d u(t)}{dt}$$

Taking Laplace transform of both sides,

$$\begin{aligned}L\{\delta(t)\} &= L\left\{\frac{d u(t)}{dt}\right\} \\ L\left\{\frac{d f(t)}{dt}\right\} &= s F(s) - f(0^-) \\ L\{\delta(t)\} &= s \cdot L\{u(t)\} - u(t)|_{t=0^-} \\ u(t)|_{t=0^-} &= 0 \\ L\{u(t)\} &= \frac{1}{s}\end{aligned}$$

$$L\{\delta(t)\} = s \cdot \frac{1}{s} - 0$$

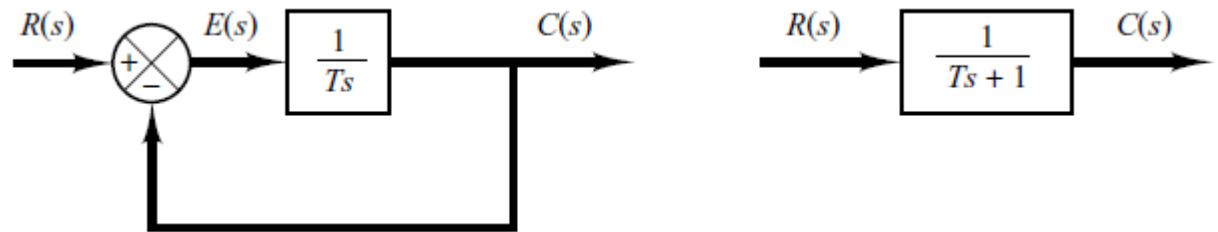
$$L\{\delta(t)\} = 1$$



# FIRST-ORDER SYSTEMS

- Consider the first-order system shown in Figure 5–1(a). Physically, this system may represent an  $RC$  circuit, thermal system, or the like

$$\frac{C(s)}{R(s)} = \frac{1}{Ts + 1}$$



- Unit-Step Response of First-Order Systems.** Since the Laplace transform of the unit-step function is  $1/s$ , substituting  $R(s)=1/s$  into Equation, we obtain

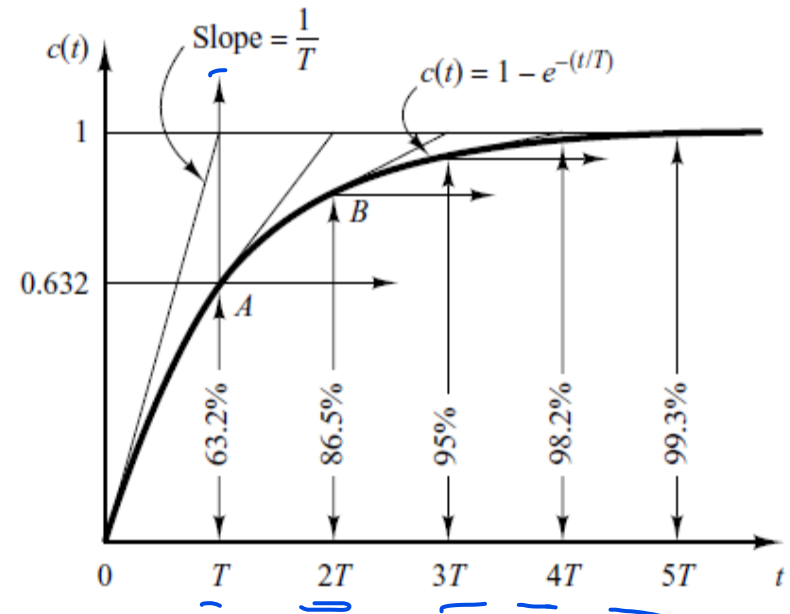
$$C(s) = \frac{1}{Ts + 1} \frac{1}{s}$$

Expanding  $C(s)$  into partial fractions gives

$$C(s) = \frac{1}{s} - \frac{T}{Ts + 1} = \frac{1}{s} - \frac{1}{s + (1/T)} \quad c(t) = 1 - e^{-t/T}, \quad \text{for } t \geq 0$$

$$c(t) = 1 - e^{-t/T}, \quad \text{for } t \geq 0$$

- Equation states that initially the output  $c(t)$  is zero and finally it becomes unity.
- One important characteristic of such an exponential response curve  $c(t)$  is that at  $t=T$  the value of  $c(t)$  is 0.632, or the response  $c(t)$  has reached 63.2% of its total change
- This may be easily seen by substituting  $t=T$  in  $c(t)$ . That is,
 
$$c(T) = 1 - e^{-1} = 0.632$$
- The exponential response curve  $c(t)$  is shown.
- In one time constant, the exponential response curve has gone from 0 to 63.2% of the final value.
- In two time constants, reaches 86.5%.
- At  $t=3T$ ,  $4T$ , and  $5T$ , the response reaches 95%, 98.2%, and 99.3%,
- Thus, for  $t > 4T$ , the response remains within 2%.
- As seen from Equation , the steady state is reached mathematically only after an infinite time.



## ■ Unit-Ramp Response of First-Order Systems.

- Since the Laplace transform of the unit-ramp function is  $1/s^2$ , we obtain the output of the system of

$$C(s) = \frac{1}{Ts + 1} \frac{1}{s^2}$$

Expanding  $C(s)$  into partial fractions gives

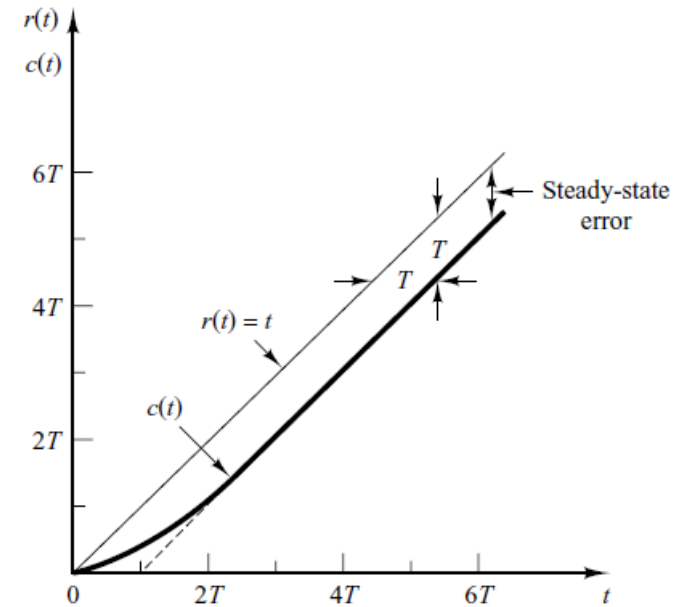
$$C(s) = \frac{1}{s^2} - \frac{T}{s} + \frac{T^2}{Ts + 1}$$

Taking the inverse Laplace transform:

$$c(t) = t - T + Te^{-t/T}, \quad \text{for } t \geq 0$$

The error signal  $e(t)$  is then

$$\begin{aligned} e(t) &= r(t) - c(t) \\ &= \underline{T(1 - e^{-t/T})} \end{aligned}$$



Above Equation states that initially the output  $c(t)$  is zero and finally it becomes unity

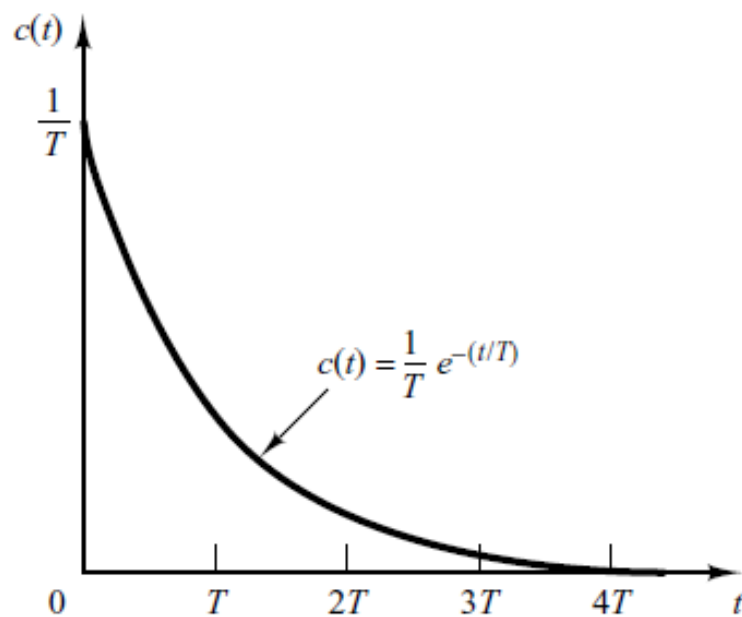
**Unit-Impulse Response of First-Order Systems.** For the unit-impulse input,  $R(s) = 1$  and the output of the system of Figure 5-1(a) can be obtained as

$$C(s) = \frac{1}{Ts + 1} \quad (5-7)$$

The inverse Laplace transform of Equation (5-7) gives

$$c(t) = \frac{1}{T} e^{-t/T}, \quad \text{for } t \geq 0 \quad (5-8)$$

The response curve given by Equation (5-8) is shown in Figure 5-4.



- for the unit-ramp input the output  $c(t)$  is

$$c(t) = \underline{t - T} + Te^{-t/T}, \quad \text{for } t \geq 0$$

- For the unit-step input, which is the derivative of unit-ramp input, the output  $c(t)$  is

$$c(t) = 1 - e^{-t/T}, \quad \text{for } t \geq 0$$

- Finally, for the unit-impulse input, which is the derivative of unit-step input, the output  $c(t)$  is

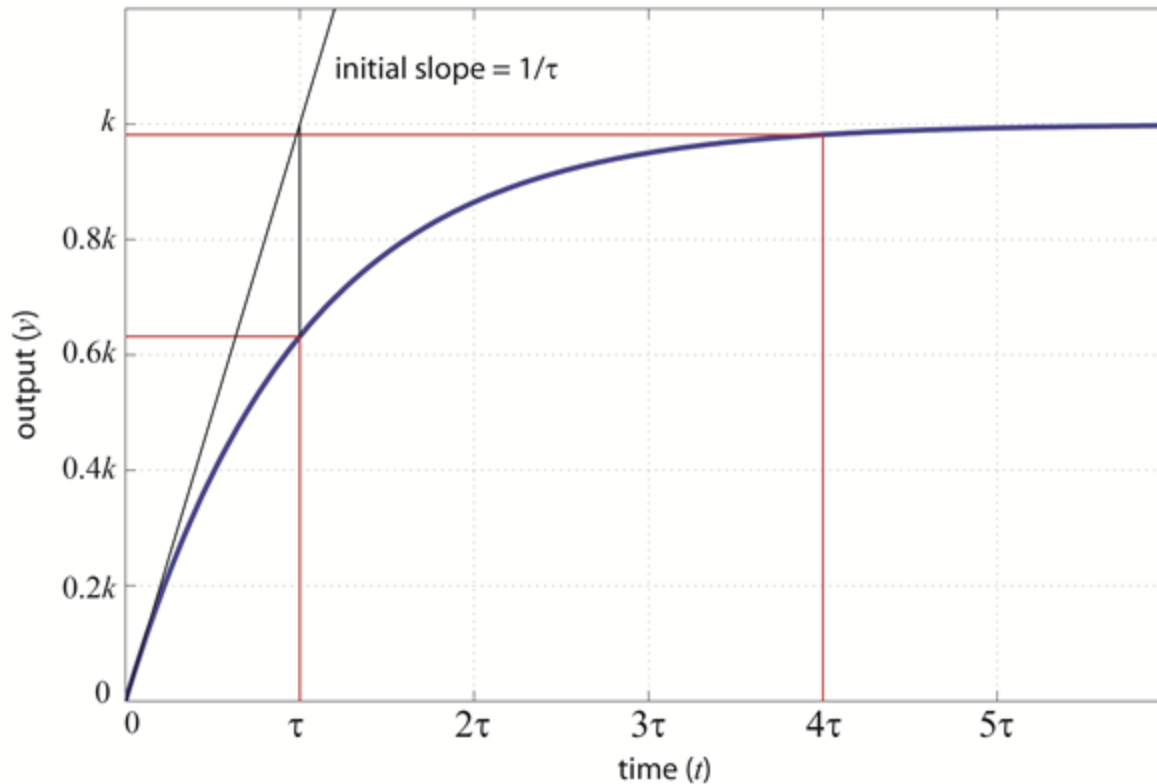
$$\underline{c(t) = \frac{1}{T} e^{-t/T}}, \quad \text{for } t \geq 0$$

Comparing the system responses to these three inputs clearly indicates that the response to the derivative of an input signal can be obtained by differentiating the response of the system to the original signal. It can also be seen that the response to the integral of the original signal can be obtained by integrating the response of the system to the original signal and by determining the integration constant from the zero-output initial condition. This is a property of linear time-invariant systems. Linear time-varying systems and nonlinear systems do not possess this property.

- First-Order Systems:

- Step Response:

$$G(s) = \frac{Y(s)}{U(s)} = \frac{k}{\tau s + 1}$$



# Transient Response Specifications: Rise Time

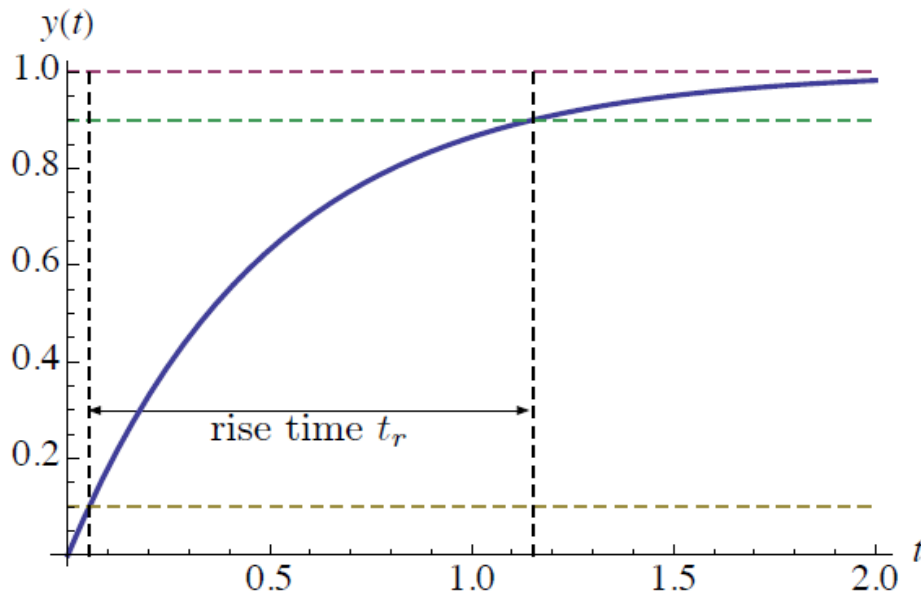
Let's first take a look at 1st-order step response

$$\underline{H(s)} = \frac{a}{s + a}, \quad a > 0 \quad (\underline{\text{stable pole}})$$

DC gain = 1 (by FVT)

Step response:  $Y(s) = \frac{H(s)}{s} = \frac{a}{s(s+a)} = \frac{1}{s} - \frac{1}{s+a}$

$$y(t) = \mathcal{L}^{-1}\{Y(s)\} = \underline{1(t)} - e^{-at}$$

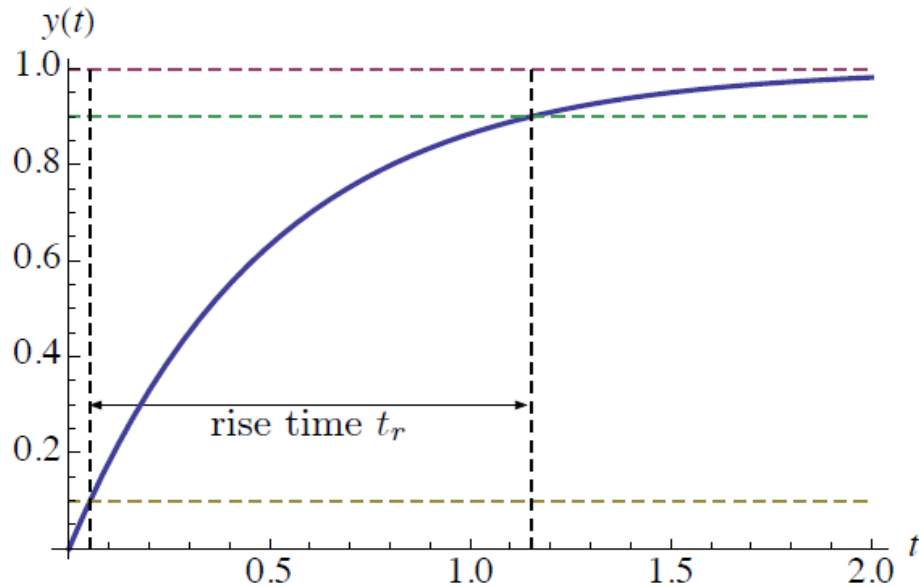


2.2T

Rise time  $t_r$ : the time it takes to get from 10% of steady-state value to 90%

# Rise Time

Step response:  $y(t) = \underline{1}(t) - e^{-at}$



Rise time  $t_r$ : the time it takes to get from 10% of steady-state value to 90%

In this example, it is easy to compute  $t_r$  analytically:

$$1 - e^{-at_{0.1}} = 0.1 \quad e^{-at_{0.1}} = 0.9 \quad t_{0.1} = -\frac{\ln 0.9}{a}$$

$$1 - e^{-at_{0.9}} = 0.9 \quad e^{-at_{0.9}} = 0.1 \quad t_{0.9} = -\frac{\ln 0.1}{a}$$

$$t_r = t_{0.9} - t_{0.1} = \frac{\ln 0.9 - \ln 0.1}{a} = \frac{\ln 9}{a} \approx \frac{2.2}{a} = 2.2\tau$$



# Transient Response Specs

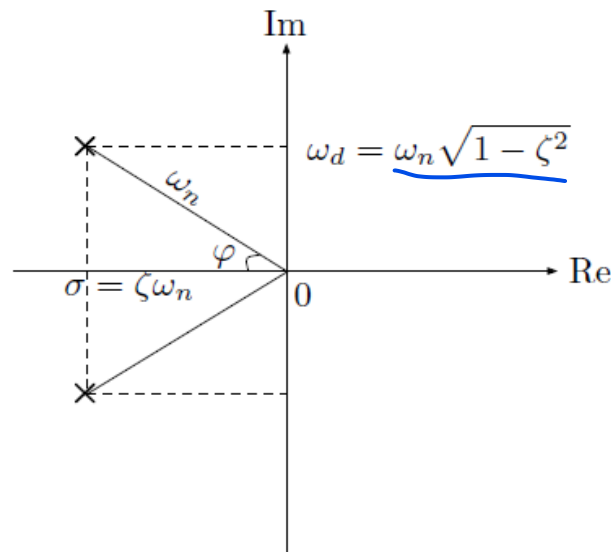
Now let's consider the more interesting case: *2nd-order response*

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{\omega_n^2}{(s + \sigma)^2 + \omega_d^2}$$

where  $\sigma = \zeta\omega_n$   $\omega_d = \omega_n\sqrt{1 - \zeta^2}$  ( $\zeta < 1$ )

$$\sigma + j\omega_n\sqrt{1 - \zeta^2}$$

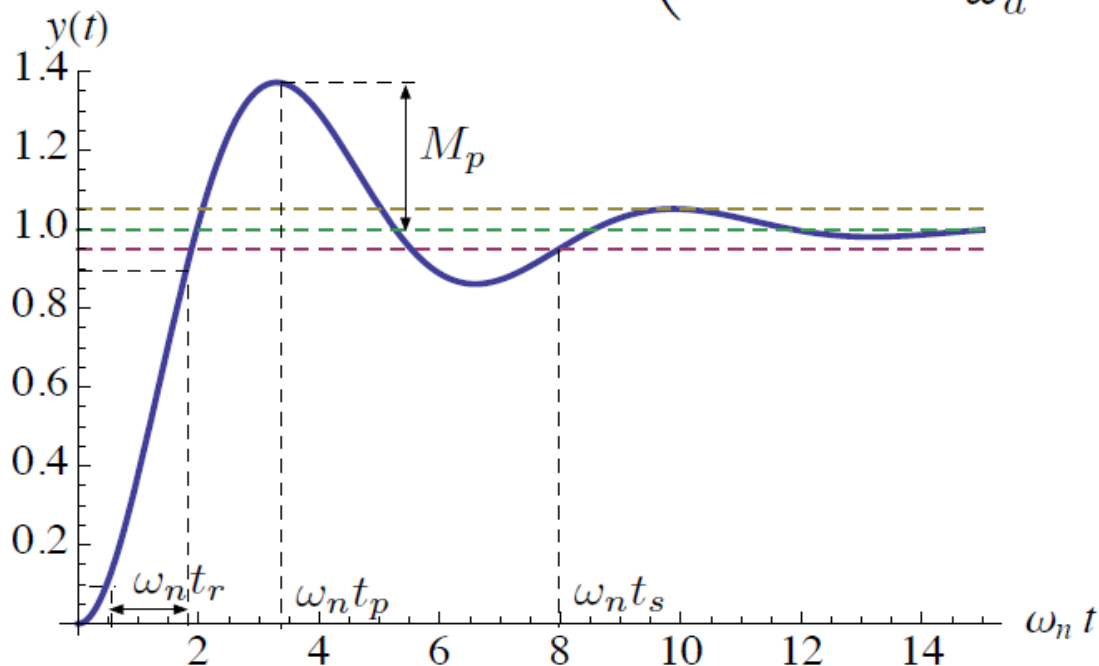
Real  $\rightarrow$  imaginary



Step response:  $y(t) = 1 - e^{-\sigma t} \left( \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right)$

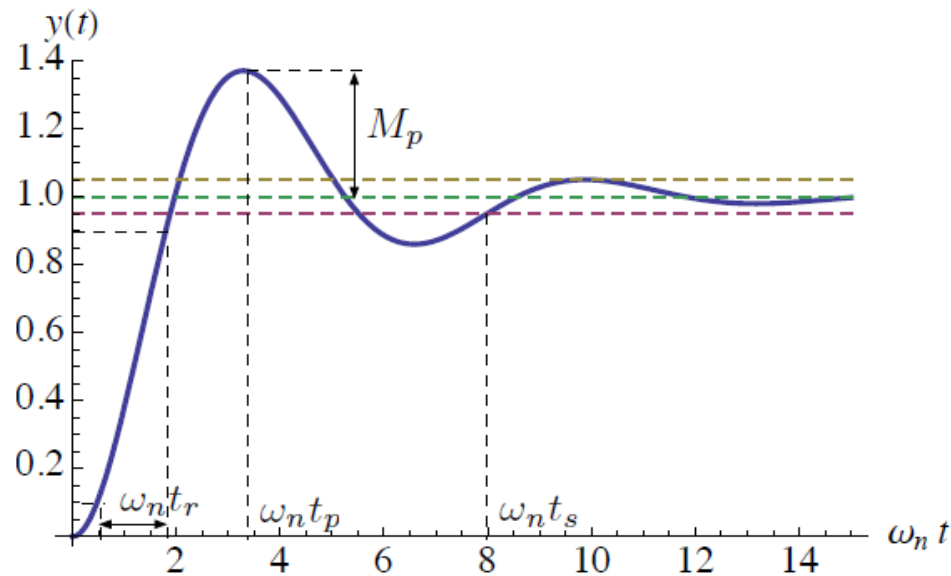
# Transient-Response Specs

Step response: 
$$y(t) = 1 - e^{-\sigma t} \left( \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right)$$



- ▶ rise time  $t_r$  — time to get from  $0.1y(\infty)$  to  $0.9y(\infty)$
- ▶ overshoot  $M_p$  and peak time  $t_p$
- ▶ settling time  $t_s$  — first time for transients to decay to within a specified small percentage of  $y(\infty)$  and stay in that range (we will usually worry about 5% settling time)

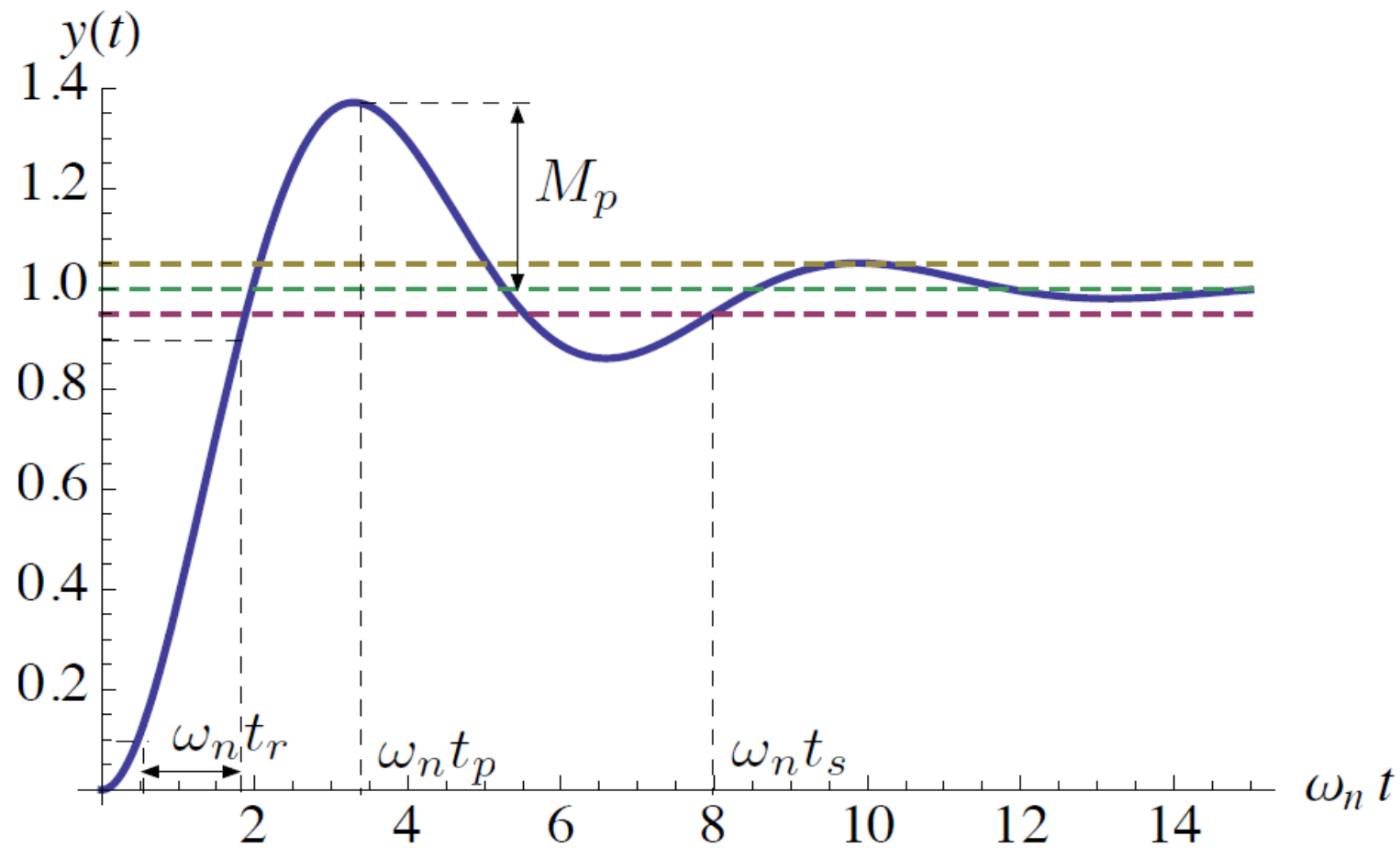
# Transient-Response (or Time-Domain) Specs



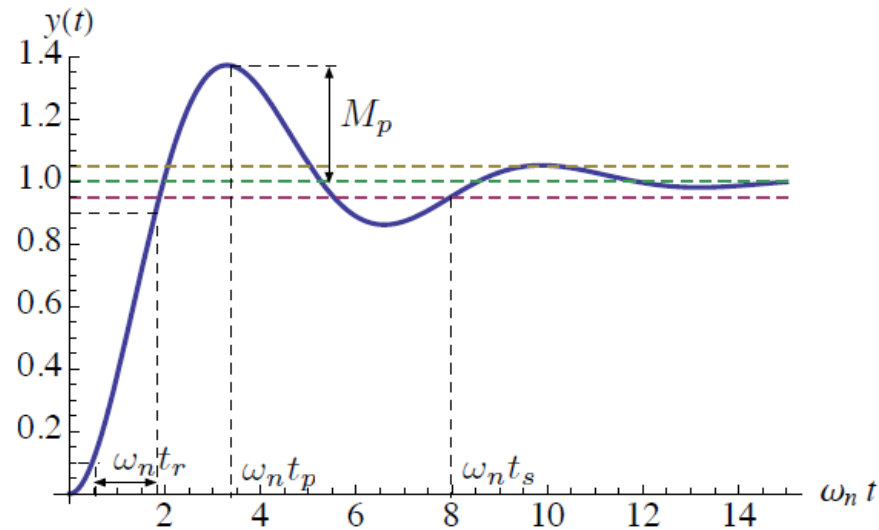
Do we want these quantities to be large or small?

- ▶  $t_r$  small
- ▶  $M_p$  small
- ▶  $t_p$  small
- ▶  $t_s$  small

Trade-offs among specs: decrease  $t_r$   $\longrightarrow$  increase  $M_p$ , etc.



## Formulas for TD Specs: Rise Time



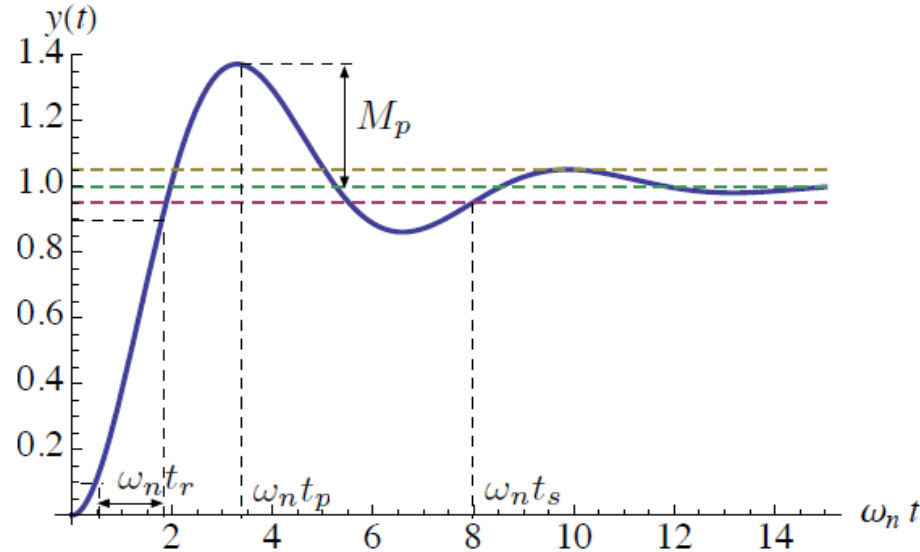
Rise time  $t_r$  — hard to calculate analytically.

Empirically, on the normalized time scale ( $t \rightarrow \omega_n t$ ), rise times are *approximately* the same

$$\omega_n t_r \approx 1.8 \quad (\text{exact for } \zeta = 0.5)$$

So, we will work with  $t_r \approx \frac{1.8}{\omega_n}$  (good approx. when  $\zeta \approx 0.5$ )

# Formulas for TD Specs: Overshoot & Peak Time



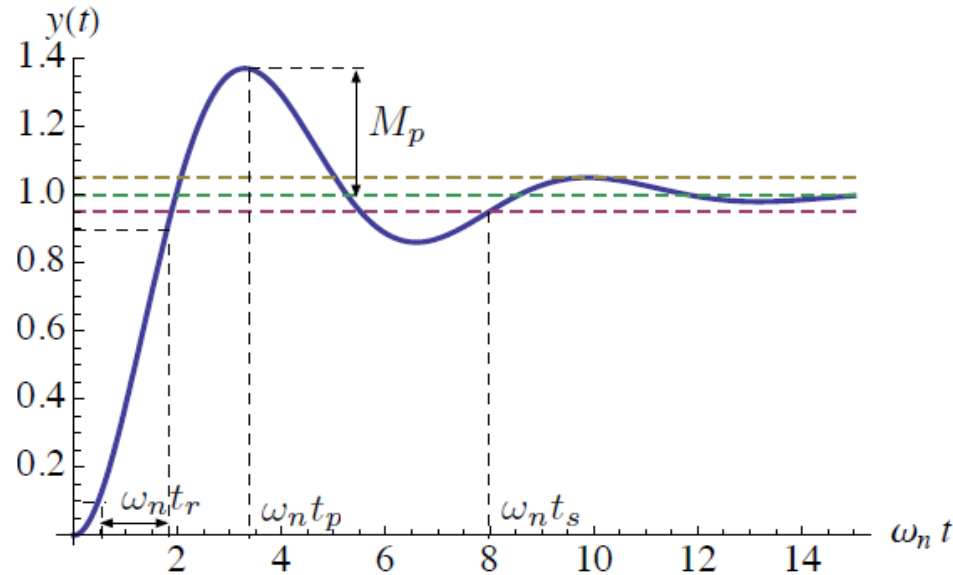
$t_p$  is the *first time*  $t > 0$  when  $y'(t) = 0$

$$y(t) = 1 - e^{-\sigma t} \left( \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right)$$

$$y'(t) = \left( \frac{\sigma^2}{\omega_d} + \omega_d \right) e^{-\sigma t} \sin(\omega_d t) = 0 \text{ when } \omega_d t = 0, \pi, 2\pi, \dots$$

so  $t_p = \frac{\pi}{\omega_d}$   $\rightarrow$   $\omega_n \sqrt{1 - \zeta^2}$

# Formulas for TD Specs: Overshoot & Peak Time

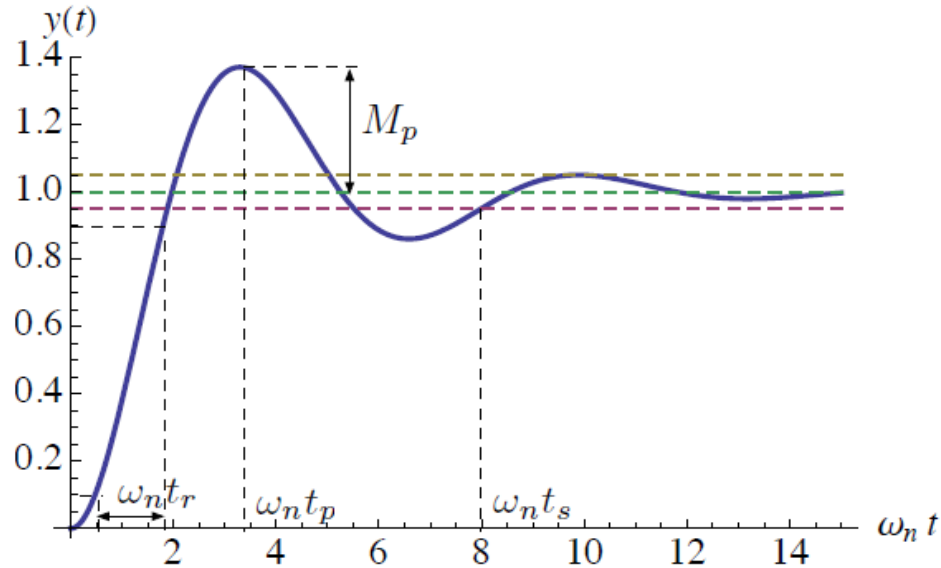


We have just computed  $t_p = \frac{\pi}{\omega_d}$

To find  $M_p$ , plug this value into  $y(t)$ :

$$\begin{aligned} M_p &= y(t_p) - 1 = -e^{-\frac{\sigma\pi}{\omega_d}} \left( \cos\left(\omega_d \frac{\pi}{\omega_d}\right) + \frac{\sigma}{\omega_d} \sin\left(\omega_d \frac{\pi}{\omega_d}\right) \right) \\ &= \exp\left(-\frac{\sigma\pi}{\omega_d}\right) = \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right) \quad \text{--- exact formula} \end{aligned}$$

# Formulas for TD Specs: Settling Time



$$t_s = \min \left\{ t > 0 : \frac{|y(t') - y(\infty)|}{y(\infty)} \leq 0.05 \text{ for all } t' \geq t \right\} \text{ (here, } y(\infty) = 1)$$

$$|y(t) - 1| = e^{-\sigma t} \left| \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right|$$

here,  $e^{-\sigma t}$  is what matters (sin and cos are bounded between

$\pm 1$ ), so  $e^{-\sigma t_s} \leq 0.05$       this gives  $t_s = -\frac{\ln 0.05}{\sigma} \approx \boxed{\frac{3}{\sigma}} = \frac{3}{\xi \omega_n}$



## Formulas for TD Specs

$$\underline{H(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2}$$

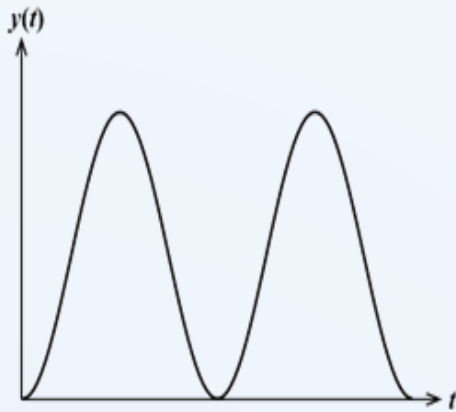
$$t_r \approx \frac{1.8}{\omega_n}$$

$$t_p = \frac{\pi}{\omega_d}$$

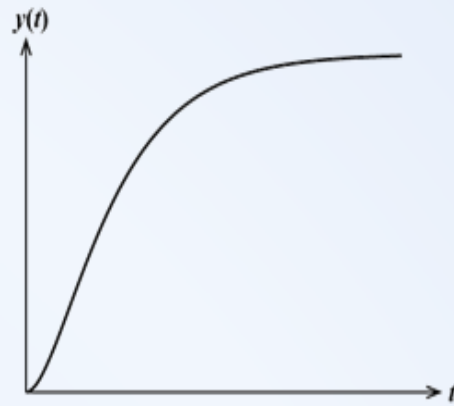
$$M_p = \exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right)$$

$$t_s \approx \frac{3}{\sigma}$$

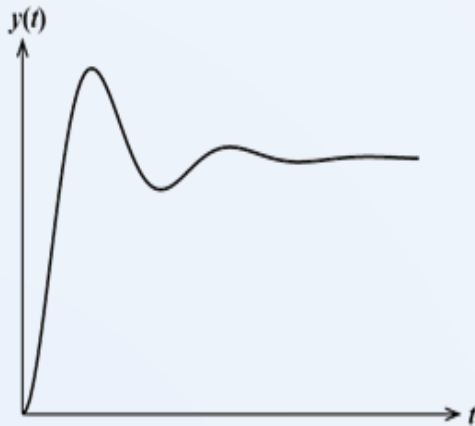
# Second-Order Systems



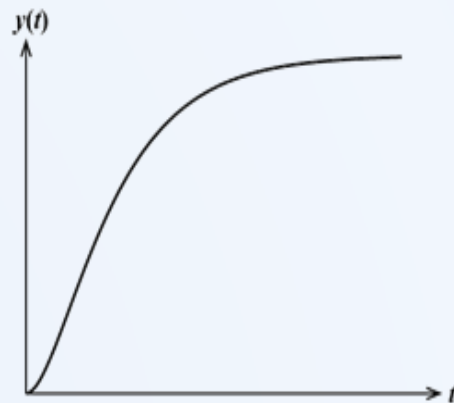
$(\zeta = 0)$  undamped



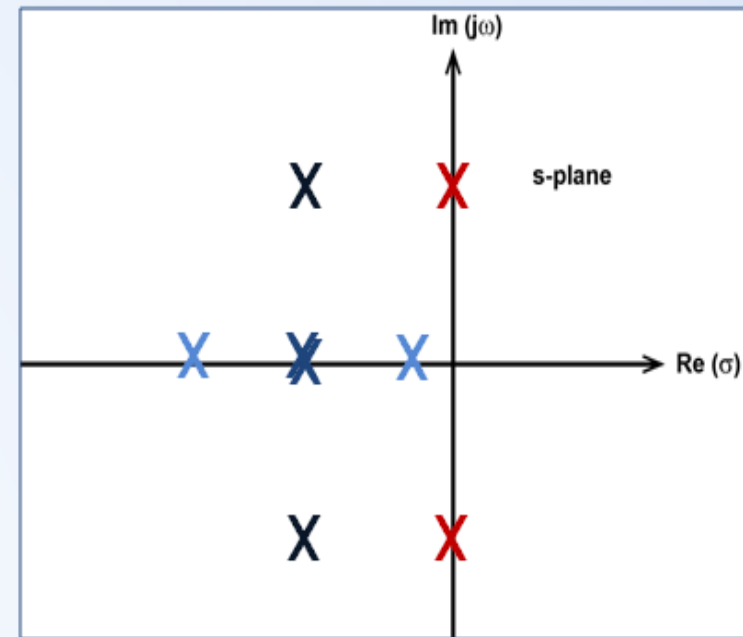
$(\zeta = 1)$  crit damped



$(0 < \zeta < 1)$  underdamped



$(\zeta > 1)$  overdamped



# TD Specs in Frequency Domain

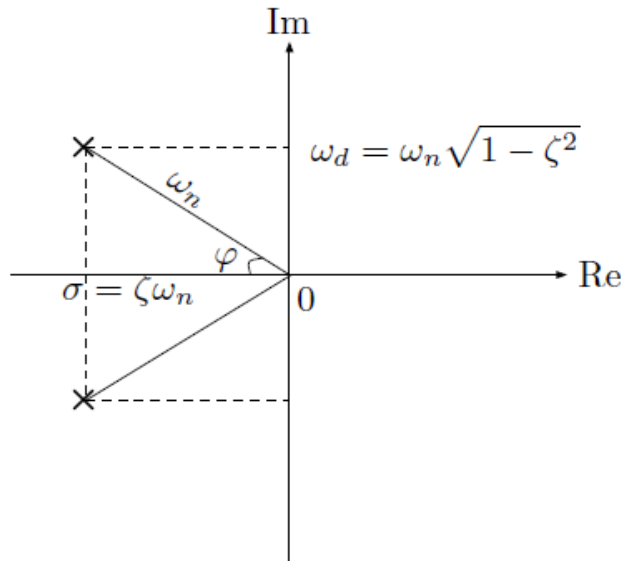
We want to *visualize* time-domain specs in terms of admissible pole locations for the 2nd-order system

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2}$$

$$\text{where } \sigma = \zeta\omega_n$$

$$\omega_d = \omega_n \sqrt{1 - \zeta^2}$$

Step response:  $y(t) = 1 - e^{-\sigma t} \left( \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right)$



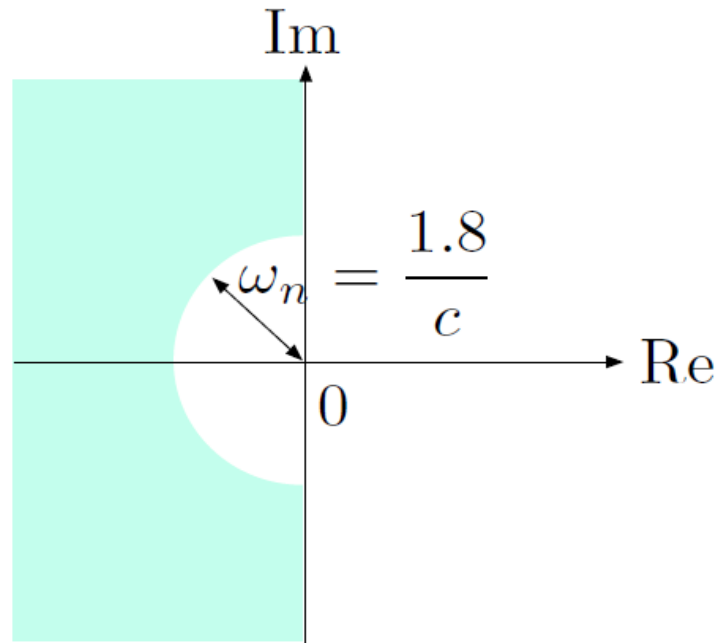
$$\omega_n^2 = \sigma^2 + \omega_d^2$$
$$\zeta = \cos \varphi$$

## Rise Time in Frequency Domain

Suppose we want  $t_r \leq c$  ( $c$  is some desired given value)

$$t_r \approx \frac{1.8}{\omega_n} \leq c \quad \implies \quad \omega_n \geq \frac{1.8}{c}$$

Geometrically, we want poles to lie in the shaded region:



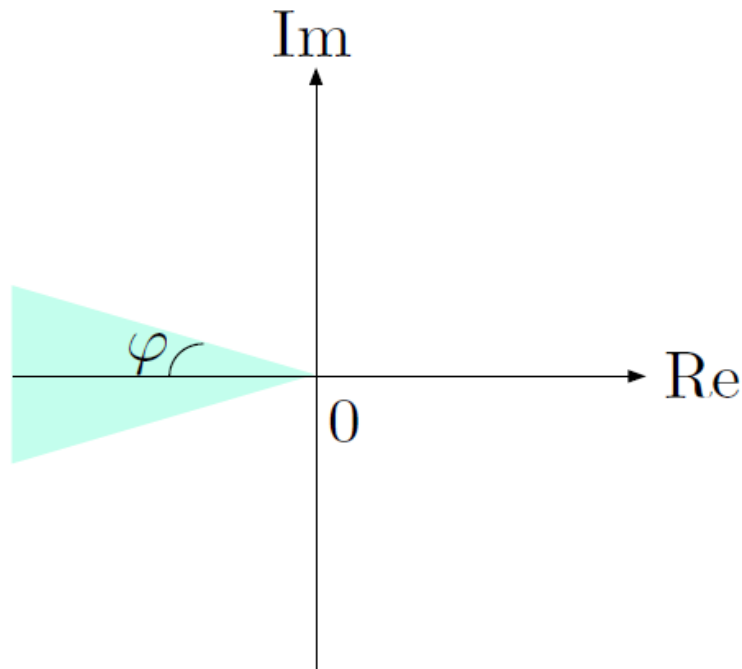
(recall that  $\omega_n$  is the *magnitude of the poles*)

# Overshoot in Frequency Domain

Suppose we want  $M_p \leq c$

$$M_p = \underbrace{\exp\left(-\frac{\pi\zeta}{\sqrt{1-\zeta^2}}\right)}_{\text{decreasing function}} \leq c \quad \text{--- need large damping ratio}$$

Geometrically, we want poles to lie in the shaded region:



$$\begin{aligned} \frac{\zeta}{\sqrt{1-\zeta^2}} &= \frac{\omega_n \zeta}{\omega_n \sqrt{1-\zeta^2}} \\ &= \frac{\sigma}{\omega_d} = \cot \varphi \end{aligned}$$

--- need  $\varphi$  to be small

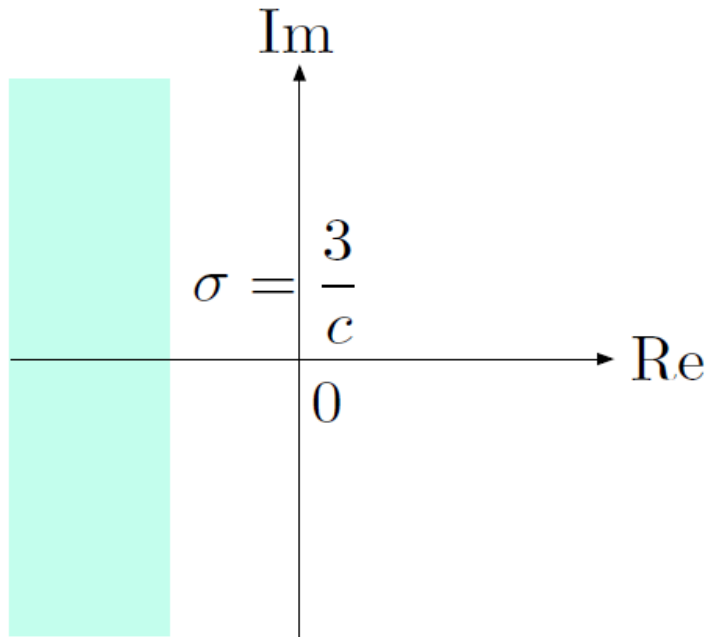
**Intuition:** good damping  $\rightarrow$   
good decay in  $1/2$  period

# Settling Time in Frequency Domain

Suppose we want  $t_s \leq c$

$$t_s \approx \frac{3}{\sigma} \leq c \quad \implies \quad \sigma \geq \frac{3}{c}$$

Want poles to be sufficiently fast (large enough magnitude of real part):



**Intuition:** poles far to the left  $\rightarrow$  transients decay faster  $\rightarrow$  smaller  $t_s$